



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

171

Applicant: Stephen R. Carter

Title: DISTRIBUTED DYNAMIC SECURITY FOR DOCUMENT COLLABORATION

Docket No.: 1565.073US1

Serial No.: 10/813,505

Filed: March 30, 2004

Due Date: N/A

Examiner: Unknown

Group Art Unit: 2131

**MS Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 5 cited documents.  
 Return postcard.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.

Customer Number 45838

By: / Joseph P. Mehrle,  
Atty: Joseph P. Mehrle  
Reg. No. 45,535

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 23 day of August, 2007.

Name Peter Rebuffoni

Signature Peter Rebuffoni



MEG 2.7 2007

S/10/813,505

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen R. Carter Examiner: Unknown  
Serial No.: 10/813,505 Group Art Unit: 2131  
Filed: March 30, 2004 Docket: 1565.073US1  
Title: DISTRIBUTED DYNAMIC SECURITY FOR DOCUMENT COLLABORATION

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications, and Non-Published Applications identifiable by USPTO Serial Number, are no longer required to be provided to the Office. Notification of this change to this effect was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004 and October 19, 2004. Thus, Applicant has not included copies of any US Patents or US Patent Applications identifiable by serial number that may be cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.  
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(513) 942-0224

Date August 23, 2007

By / Joseph P. Mehrle  
Joseph P. Mehrle  
Reg. No. 45,535

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 23 day of August 2007.

Name Peter Rebekin

Signature Peter Rebekin

Substitute for form 1449A-PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



Complete if Known	
<b>Application Number</b>	10/813,505
<b>Filing Date</b>	March 30, 2004
<b>First Named Inventor</b>	Carter, Stephen
<b>Group Art Unit</b>	2131
<b>Examiner Name</b>	Unknown
Attorney Docket No: 1565.073US1	

**US PATENT DOCUMENTS**

Examiner Initials*	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date, If Appropriate
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T*
	WO-0079432A1	12/28/2000	Shambroom, W. D.	

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
		"EP Search Report", Received in related matter EP 04104137.7, (March 1, 2005),9 pgs.	
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		ROWLEY, ANDREW, "A Security Architecture for Distributed Groupware", Department of Computer Science, University of London, (September 1998),142 pgs.	
		SCHNEIER, B., "Applied Cryptography", 2nd Edition, (1995),185-187	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CR/

**EXAMINER** /Christopher Revak/ **DATE CONSIDERED** 03/24/2008

Substitute Disclosure Statement Form (PTO-1449)

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Due line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) / Applicant is to place a check mark here if English language Translation is attached